JUN 0 7 2002

"Express Mail" mailing label number \_\_\_\_\_EI, 448312649US

TEUR DENTER 1600,2900

of Deposit 65731/02

Our Case No.: 10466/242

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of:

Ashkenazi et al.

Serial No.: 09/997,428

Filing Date: November 15, 2001

For:

SECRETED AND

TRANSMEMBRANE

POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

Examiner: To be assigned

Group Art Unit No.: 1645

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

## OTHER ART

- 1. Blast Results A1-A8, GenBank
- 2. Blast Results, B1-B2, Dayhoff

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement

is not to be construed as a representation that a search has been made and is not to be

construed to be an admission that the information cited is, or is considered to be,

material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first

Official Action reflecting an examination on the merits and hence is believed to be timely

filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in

connection with filing of this Information Disclosure Statement, however, should any

fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to

these material, the Commissioner is hereby authorized to deduct said fees from Brinks

Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document

is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in

the present case.

Respectfully submitted,

Gregory M. Zinkl, Ph.D. Registration No. 48,492

Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

# RECEIVED

JUN 0 7 2002

FORM PTO-1449

ATENTS TRANSPORTED TO A TENTE TRANSPORTED TO A TENTE TRANSPORTED TO A TENTE TO A TEN

SERIAL NO.

FILING DATE

CASE NO 290

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
CTATEMENT

09/997,428

10466/242

MATION DISCLOSURE November 15, 2001

GROUP ART UNIT 1645

(use several sheets if necessary)

APPLICANT(S): Ashkenazi et al.

REFER	ENCE	<b>DESIGN</b>	ATION
1/61 61		DESIGN	$\Delta II \cup II$

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

#### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT DATE COUNTE		COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
						-

EXAMINER INITIAL		OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
	A1	Blast Results A1-A8, GenBank
	A2	Blast Results, B1-B2, Dayhoff

EXAMINER	FΧΑ	M	IN	F	R
----------	-----	---	----	---	---

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.